

CS:4980 Topics in Computer Science II
Introduction to Automated Reasoning

Theory Solvers I

Cesare Tinelli

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Credits

These slides are based on slides originally developed by **Cesare Tinelli** at the University of Iowa, and by **Clark Barrett**, **Caroline Trippel**, and **Andrew (Haoze) Wu** at Stanford University. Adapted by permission.

Roadmap for Today

Theory Solvers

- Difference Logic
- Equality and Uninterpreted Functions
- Arrays

Theory Solvers

A *theory solver* for a theory \mathcal{T} is a specialized procedure for determining whether a conjunction of literals is satisfiable in \mathcal{T}

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A Fragment of Arithmetic: Difference Logic

Difference logic is a fragment of integer arithmetic consisting of conjunction of literals of a very restricted form:

$$x - y \bowtie c$$

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Note: There is a similar version of difference logic **over the reals**, which we will not cover, where x and y are integer variables and c is a decimal numeral

Difference Logic

A solver for difference logic consists of three steps:

1. Literal normalization
2. Conversion to a graph
3. Cycle detection in the graph

Difference Logic

Step 1

Rewrite each literal in terms of \leq by applying these transformations to completion:

$$1. \quad x - y = c \quad \longrightarrow \quad x - y \leq c \wedge x - y \geq c$$

$$2. \quad x - y \geq c \quad \longrightarrow \quad y - x \leq -c$$

$$3. \quad x - y > c \quad \longrightarrow \quad y - x < -c$$

$$4. \quad x - y < c \quad \longrightarrow \quad x - y \leq c - 1$$

Difference Logic

Step 2

From the resulting literals of Step 1, construct a weighted directed graph G with a vertex for each variable

Add the edge $x \xrightarrow{c} y$ to G for each literal $x - y \leq c$

Step 3

Look for a cycle in G where the sum of the weights on the edges is negative

Return UNSAT if there is such a cycle and return SAT otherwise

Note: There are a number of efficient algorithms for detecting negative cycles in graphs

- e.g., Bellman-Ford, $O(v \cdot e)$ where v is the number of vertices and e the number of edges

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Difference Logic Example

$$x - y = 5 \wedge z - y \geq 2 \wedge z - x > 2 \wedge w - x = 2 \wedge z - w < 0$$

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$$x - y = 5$$

$$z - y \geq 2$$

$$z - x > 2$$

$$w - x = 2$$

$$z - w < 0$$

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$$x - y = 5 \wedge z - y \geq 2 \wedge z - x > 2 \wedge w - x = 2 \wedge z - w < 0$$

$$x - y = 5 \quad x - y \leq 5 \wedge y - x \leq -5$$

$$z - y \geq 2 \quad y - z \leq -2$$

$$z - x > 2 \quad \longrightarrow \quad x - z \leq -3$$

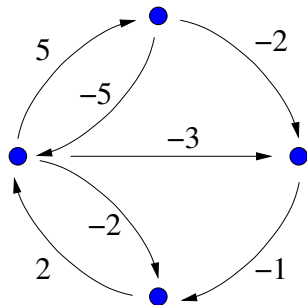
$$w - x = 2 \quad w - x \leq 2 \wedge x - w \leq -2$$

$$z - w < 0 \quad z - w \leq -1$$

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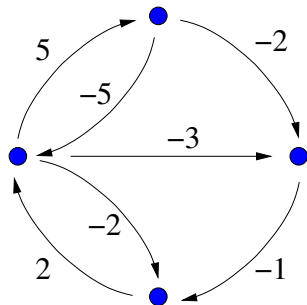
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Return **UNSAT** because of cycle: $-3, -1, 2$

Theory Solvers as Satisfiability Proof Systems

In general, how do we determine whether a **conjunction** (or, equivalently, a finite set) **of literals** is \mathcal{T} -satisfiable?

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Notation and Assumptions

A literal is *flat* if it is of the form:

$$x \doteq y \quad \neg(x \doteq y) \quad x \doteq f(\mathbf{z})$$

where x, y are variables, f is a function symbol and \mathbf{z} is a tuple of 0 or more variables

Note: Any set of literals can be converted to an equisatisfiable flat set of literals by introducing fresh variables and equating non-equational atoms to true

Example

$$\{x + y > 0, y \doteq f(g(z))\} \longrightarrow$$

$$\{v_1 \doteq \text{true}, v_1 \doteq v_2 > v_3, v_2 \doteq x + y, v_3 \doteq 0, y \doteq f(v_4), v_4 \doteq g(z)\}$$

For the proof systems we present next, we assume that all literals are flat

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- We abbreviate $\neg(s \doteq t)$ with $s \neq t$
- For tuples $u = \langle u_1, \dots, u_n \rangle$ and $v = \langle v_1, \dots, v_n \rangle$, we write $u = v$ as an abbreviation for $u_1 \doteq v_1, \dots, u_n \doteq v_n$
- Proof states, besides SAT and UNSAT, are sets Γ of formulas
- The satisfiable states are those that are \mathcal{T} -satisfiable, plus SAT
- We use Γ to refer to the current proof state in rule premises
- We write $\Gamma, s \doteq t$ as an abbreviation of $\Gamma \cup \{s \doteq t\}$
- From now on, we also assume that if applying a rule R does not change Γ , then R is *not applicable* to Γ , i.e., Γ is irreducible with respect to R

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A Satisfiability Proof System for QF_UF

Let QF_UF be the quantifier-free fragment of FOL over some signature Σ

The following is a simple satisfiability proof system R_{UF} for QF_UF:

$$\text{CONTR} \frac{x \doteq y \in \Gamma \quad x \neq y \in \Gamma}{\text{UNSAT}}$$

$$\text{REFL} \frac{x \text{ occurs in } \Gamma}{\Gamma := \Gamma, x \doteq x}$$

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$$\text{SAT} \frac{\text{No other rules apply}}{\text{SAT}}$$

Is R_{UF} sound? Is it terminating?

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Example derivation

$\text{REFL} \frac{x \text{ occurs in } \Gamma}{\Gamma := \Gamma, x \doteq x}$	$\text{CONTR} \frac{x \doteq y \in \Gamma \quad x \not\doteq y \in \Gamma}{\text{UNSAT}}$	$\text{TRANS} \frac{x \doteq y \in \Gamma \quad y \doteq z \in \Gamma}{\Gamma := \Gamma, x \doteq z}$
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Problem Determine the satisfiability of $\{a \doteq f(f(a)), a \doteq f(f(f(a))), g(a, f(a)) \not\doteq g(f(a), a)\}$ which can be flattened to

$$\frac{a \doteq f(a_1), a_1 \doteq f(a), a \doteq f(a_2), a_2 \doteq f(a_1), a_3 \not\doteq a_4, a_3 \doteq g(a, a_1), a_4 \doteq g(a_1, a)}{\text{(REFL)}}$$

Showing only difference with previous state

$$\frac{a_1 \doteq a_1}{a \doteq a_2} \text{ (CONG}^1\text{)}$$

$$\frac{a \doteq a_2}{a_1 \doteq a} \text{ (CONG}^2\text{)}$$

$$\frac{a_1 \doteq a}{a \doteq a_1} \text{ (SYMM)}$$

$$\frac{a \doteq a_1}{a_3 \doteq a_4} \text{ (CONG}^3\text{)}$$

$$\frac{a_3 \doteq a_4}{\text{UNSAT}} \text{ (CONTR}^4\text{)}$$

¹ applied to $a \doteq f(a_1), a_2 \doteq f(a_1), a_1 \doteq a_1$

² applied to $a_1 \doteq f(a), a \doteq f(a_2), a \doteq a_2$

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Showing only difference
with previous state

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$$\frac{a_1 \doteq a}{a \doteq a_1} \text{ (SYMM)}$$

$$\frac{a \doteq a_1}{a_3 \doteq a_4} \text{ (CONG}^3\text{)}$$

$$\frac{a_3 \doteq a_4}{\text{UNSAT}} \text{ (CONTR}^4\text{)}$$

¹ applied to $a \doteq f(a_1), a_2 \doteq f(a_1), a_1 \doteq a_1$

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Soundness

Theorem 1 (Refutation soundness)

A literal set Γ_0 is unsatisfiable if R_{UF} derives UNSAT from it.

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Proof sketch. All rules but **SAT** are clearly satisfiability preserving.

If a derivation from Γ_0 ends with **UNSAT**, it must then be that Γ_0 is unsatisfiable. □

Soundness

Theorem 1 (Solutions soundness)

A literal set Γ_0 is satisfiable if R_{UF} derives SAT from it.

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A literal set Γ_0 is satisfiable if R_{UF} derives SAT from it.

Proof sketch. Let Γ be a proof state to which SAT applies. From Γ , we construct an interpretation that satisfies Γ_0 .

Let $s \sim t$ iff $s = t \in \Gamma$. One can show that \sim is an equivalence relation.

Let the domain of \mathcal{I} be the equivalence classes E_1, \dots, E_k of \sim .

For every variable or a constant t , let $t^{\mathcal{I}} = E_i$ if $t \in E_i$ for some i ; otherwise, let $t^{\mathcal{I}} = E_1$.

For every unary function symbol f , and equivalence class E_i , let $f^{\mathcal{I}}$ be such that $f^{\mathcal{I}}(E_i) = E_j$ if $f(t) \in E_j$ for some $t \in E_i$, and $f^{\mathcal{I}}(E_i) = E_1$ otherwise. Define $f^{\mathcal{I}}$ for non-unary f similarly.

We can show that $\mathcal{I} \models \Gamma$. This means that $\mathcal{I} \models \Gamma_0$ as well since $\Gamma_0 \subseteq \Gamma$. □

Termination

Theorem 2 (Termination)

Every derivation strategy for R_{UF} terminates.

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Every derivation strategy for R_{UF} terminates.

Proof sketch. R_{UF} adds to the current state Γ only equalities between variables of Γ_0 .
So at some point it will run out of new equalities to add. □

Completeness

Theorem 3 (Refutation completeness)

Every derivation strategy applied to an unsatisfiable state Γ_0 ends with UNSAT.

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Proof sketch. Let Γ_0 be an unsatisfiable state.

Suppose there was a derivation from Γ_0 that did not end with UNSAT.

Then, by the termination theorem, it would have to end with SAT.

But then R_{UF} would be not be solution sound. □

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Theorem 4 (Solution completeness)

Every derivation strategy applied to a satisfiable state Γ_0 ends with SAT.

Proof sketch. Let Γ_0 be a satisfiable state.

Suppose there was a derivation from Γ_0 that did not end with SAT.

Then, by the termination theorem, it would have to end with UNSAT.

But then R_{UF} would be refutation unsound. □

Theory of Arrays \mathcal{T}_A

Recall: $\mathcal{T}_A = \langle \Sigma, \mathbf{M} \rangle$ where

- $\Sigma^S = \{A, I, E\}$ (for **arrays, indices, elements**)
 $\Sigma^F = \{ \text{read}, \text{write} \}$, $\text{rank}(\text{read}) = \langle A, I, E \rangle$ and $\text{rank}(\text{write}) = \langle A, I, E, A \rangle$
- \mathbf{M} is the class of Σ -interpretations that satisfy the following axioms:
 1. $\forall a. \forall i. \forall v. \text{read}(\text{write}(a, i, v), i) \doteq v$
 2. $\forall a. \forall i. \forall i'. \forall v. (i \neq i' \Rightarrow \text{read}(\text{write}(a, i, v), i') \doteq \text{read}(a, i'))$
 3. $\forall a. \forall a'_1. (\forall i. \text{read}(a, i) \doteq \text{read}(a'_1, i) \Rightarrow a \doteq a'_1)$

Example

```
1 void ReadBlock(int data[], int x, int len)
2 {
3     int i = 0;
4     int next = data[0];
5     for (; i < next && i < len; i = i + 1) {
6         if (data[i] == x)
7             break;
8         else
9             Process(data[i]);
10    }
11    assert(i < len);
12 }
```

One path through this code can be translated using the theory of arrays as:

$$i \doteq 0 \wedge next \doteq read(data, 0) \wedge i < next \wedge \\ i < len \wedge read(data, i) = x \wedge \neg(i < len)$$

A Satisfiability Proof System for \mathcal{T}_A

The satisfiability proof system R_A for \mathcal{T}_A extends the proof system R_{UF} for QF_{UF} with the following rules:

$$\text{RINTRO1} \frac{b \doteq \text{write}(a, i, v) \in \Gamma}{\Gamma := \Gamma, v \doteq \text{read}(b, i)}$$

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where e_1, e_2 and k are fresh variables

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RINTRO1: If b results from writing v in a at position i , then reading b at that position gives you v

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where e_1, e_2 and k are fresh variables

RINTRO2: If b results from writing v in a at position i , and a or b is read at position j , then separately consider two cases: (1) i equals j ; (2) a and b have the same value at position j

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where e_1, e_2 and k are fresh variables

EXT: If arrays a_1 and a_2 are distinct, they must differ in the value they store at some position k

Example

$$\begin{array}{l} \text{RINTRO1} \frac{b \doteq \text{write}(a, i, v) \in \Gamma}{\Gamma := \Gamma, v \doteq \text{read}(b, i)} \quad \text{EXT} \frac{a \neq b \in \Gamma \quad a, b \text{ arrays}}{\Gamma := \Gamma, u \neq v, u \doteq \text{read}(a, k), v \doteq \text{read}(b, k)} \\ \text{RINTRO2} \frac{b \doteq \text{write}(a, i, v) \in \Gamma \quad u \doteq \text{read}(c, j) \in \Gamma \quad x \doteq c \in \Gamma \quad x \in \{a, b\}}{\Gamma := \Gamma, i \doteq j} \quad \Gamma := \Gamma, i \neq j, u \doteq \text{read}(a, j), u \doteq \text{read}(b, j) \end{array}$$

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Determine the satisfiability of $\{ \text{write}(a_1, i, \text{read}(a_2, i)) \doteq \text{write}(a_2, i, \text{read}(a_1, i)), a_1 \neq a_2 \}$

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First, we convert the problem to flat form:

$$\{ \text{write}(a_1, i, \text{read}(a_2, i)) \doteq \text{write}(a_2, i, \text{read}(a_1, i)), a_1 \neq a_2 \}$$

$$\longrightarrow \{ a'_1 \doteq a'_2, a'_1 \doteq \text{write}(a_1, i, \text{read}(a_2, i)), a'_2 \doteq \text{write}(a_2, i, \text{read}(a_1, i)), a_1 \neq a_2 \}$$

$$\longrightarrow \{ a'_1 \doteq a'_2, a'_1 \doteq \text{write}(a_1, i, v_2), v_2 \doteq \text{read}(a_2, i), a'_2 \doteq \text{write}(a_2, i, v_1), v_1 \doteq \text{read}(a_1, i), a_1 \neq a_2 \}$$

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Is R_A sound? Is it terminating?

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Soundness, Termination, and Completeness

Refutation soundness is straightforward and follows from the \mathcal{T}_A axioms.

Termination follows from the following argument. Once we add all of the $i_{a,b}$ variables, no rule introduces new variables. There are only a finite number of terms that match the conclusions that can be constructed with a finite number of variables, so eventually, Γ will become reducible only by the SAT rule.

Solution soundness is again by constructing an interpretation but is much more involved. Essentially, we construct an interpretation much as we did for R_{UF} , but then we modify it to ensure the array axioms are satisfied.

Refutation and solution completeness follow from soundness and termination, as in R_{UF} case.

More details in Section 5 of Jovanović and Barrett, "Being Careful about Theory Combination", 2013.

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