

# CS:5810

## Formal Methods in Software Engineering

### Introduction to Alloy

### Part 1

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# Outline

- Introduction to basic Alloy constructs using a simple example of a static model
  - How to define **sets, subsets, relations with multiplicity constraints**
  - How to use Alloy's **quantifiers** and **predicate** forms
- Basic use of the Alloy Analyzer 4 (AA)
  - **Loading, compiling, and analyzing** a simple Alloy specification
  - Adjusting basic **tool parameters**
  - Using the **visualization** tool to view instances of models

# Roadmap

## Alloy: Rationale and Use Strategies

- What types of systems have been modeled with Alloy
- What types of questions can AA answer
- What is the purpose of each of the sections in an Alloy specification

## Alloy Specifications

- Parameterized conditionals
- Indexed relations
- Graphical representations of Alloy models
- More complex examples

# Alloy --- Why was it created?

## Lightweight

small and easy to use, and capable of expressing common properties tersely and naturally

## Precise

having a simple and uniform mathematical semantics

## Tractable

amenable to efficient and fully automated semantic analysis (within scope limits)

# Alloy --- Comparison

## UML

- Has similarities (graphical notation, OCL constraints) but it is neither lightweight, nor precise
- UML includes many modeling notions omitted from Alloy (use-cases, state-charts, code architecture specs)
- Alloy's diagrams and relational navigation are inspired by UML

## Z

- Precise, but intractable. Stylized typography makes it harder to work with.
- Z is more expressive than Alloy, but more complicated
- Alloy's set-based semantics is inspired by Z

# Alloy --- What is it used for?

Alloy is a textual modeling language aimed at expressing **structural** and **behaviorals** properties of software systems

It is not meant for modeling code architecture (*a la* class diagrams in UML)

But there might be a close relationship between the Alloy specification and an implementation in an OO language

# Alloy --- Example Applications

The Alloy 4 distribution comes with several example models that together illustrate the use of Alloy's constructs

## Examples

- Specification of a distributed spanning tree
- Model of a generic file system
- Model of a generic file synchronizer
- Tower of Hanoi model
- ...

# Alloy in General

Alloy is general enough that it can model

- any domain of individuals and
- relations between them

We will then start with a few simple examples that are not necessarily about software



# Example: Family Structure

We want to...

- Model **parent/child relationships** as primitive relations
- Model **spousal relationships** as primitive relations
- Model relationships such as “**siblings**” as *derived* relations
- Enforce certain **biological constraints** via 1<sup>st</sup>-order predicates (e.g., people have only one mother)
- Enforce certain **social constraints** via 1<sup>st</sup>-order predicates (e.g., a wife isn't a sibling)
- Confirm or refute the existence of certain **derived relationships** (e.g., no one has a wife with whom he shares a parent)

# Example: addressBook

An **address book** for an email client that maintains a mapping from **names** to **addresses**

FriendBook
Ted -> ted@gmail.com Ryan -> ryan@hotmail.com

WorkBook
Pilard -> lpilard@uiowa.edu Ryan -> ryan@uiowa.edu

# Atoms and Relations

In Alloy, everything is built from **atoms** and **relations**

An **atom** is a primitive entity that is

- *indivisible*: it cannot be broken down into smaller parts
- *immutable*: its properties do not change over time
- *uninterpreted*: it does not have any built in property  
(the way numbers do for example)

A **relation** is a structure that **relates atoms**. It is a set of **tuples**, each tuple being a sequence of atoms

# Atoms and Relations: Examples

- **Unary relations**: a set of names, a set of addresses and a set of books

Name = {(N0), (N1), (N2)} ← Atoms

Addr = {(D0), (D1)} ← Atoms

Book = {(B0), (B1)} ← Atoms

Tuples

- A **binary relation** from names to addresses

address = {(N0, D0), (N1, D1)}

- A **ternary relation** from books to name to addresses

addr = {(B0, N0, D0), (B0, N1, D1), (B1, N1, D2)}

# Relations

**Size of a relation:** the number of tuples in the relation

**Arity of a relation:** the number of atoms in each tuple of the relation

relations with arity 1, 2, and 3 are said to be *unary*, *binary*, and *ternary* relations

## Examples.

- relation of arity 1 and size 1: `myName = {(N0)}`
- relation of arity 2 and size 3: `address = {(N0,D0),(N1,D1),(N2,D1)}`

# Main Components of Alloy Models

- Signatures and Fields
- Predicates and Functions
- Facts
- Assertions
- Commands and scopes

# Signatures and Fields

## Signatures

- Describe classes of entities we want to reason about
- Sets defined in signatures are fixed (dynamic aspects can be modeled by time-dependent relations)

## Fields

- Define relations between signatures

## Simple constraints

- Multiplicities on signatures
- Multiplicities on relations

# Signatures

- A **signature** introduces a set of **atoms**

- The declaration

**sig** A {}

introduces a set named A

- A set can be introduced as an **extension** of another;  
thus

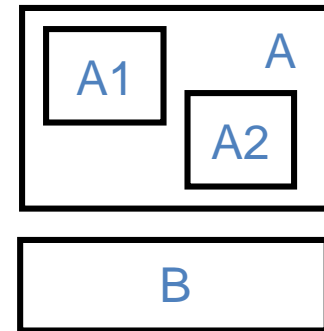
**sig** A1 **extends** A {}

introduces a set A1 that is a **subset** of A



# Signatures

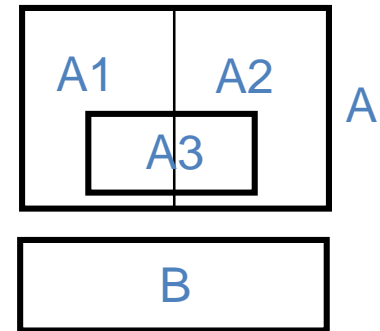
```
sig A {}  
sig B {}  
sig A1 extends A {}  
sig A2 extends A {}
```



- **A1** and **A2** are **extensions** of **A**
- A signature declared independently of any other one is a **top-level signature**, e.g., **A** and **B**
- Extensions of the same signature are **mutually disjoint**, as are top-level signatures

# Signatures

```
abstract sig A {}  
sig B {}  
sig A1 extends A {}  
sig A2 extends A {}
```



- A signature can be introduced as a **subset** of another  
`sig A3 in A {}`
- An **abstract signature** has no elements except those belonging to its extensions or subsets
- All extensions of an abstract signature `A` form a **partition** of `A`

# Fields

- **Relations** are declared as **fields** of signatures

- Writing

`sig A {f: e}`

introduces a relation **f** of type  $A \times e$ , where **e** is an expression denoting a product of signatures)

- Examples: (with signatures **A**, **B**, **C**)

- Binary Relation:

`sig A { f1: B } // f1 is a subset of A x B`

- Ternary Relation:

`sig A { f2: B -> C } // f2 is a subset of A x B x C`

# Example Signatures and Fields

## Family Structure:

```
abstract sig Person {  
  children: Person,  
  siblings: Person  
}
```

```
sig Man, Woman extends Person {}
```

```
sig Married in Person {  
  spouse: Married  
}
```

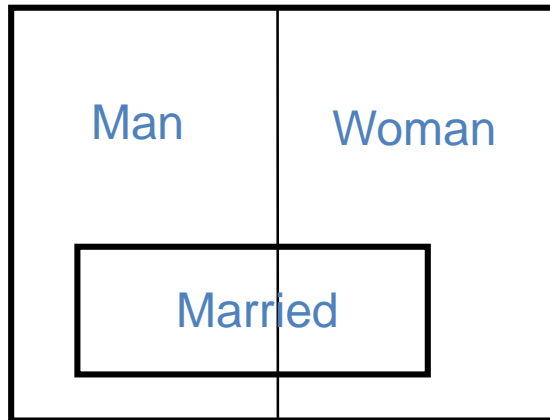
Fields



# Example: Family Structure

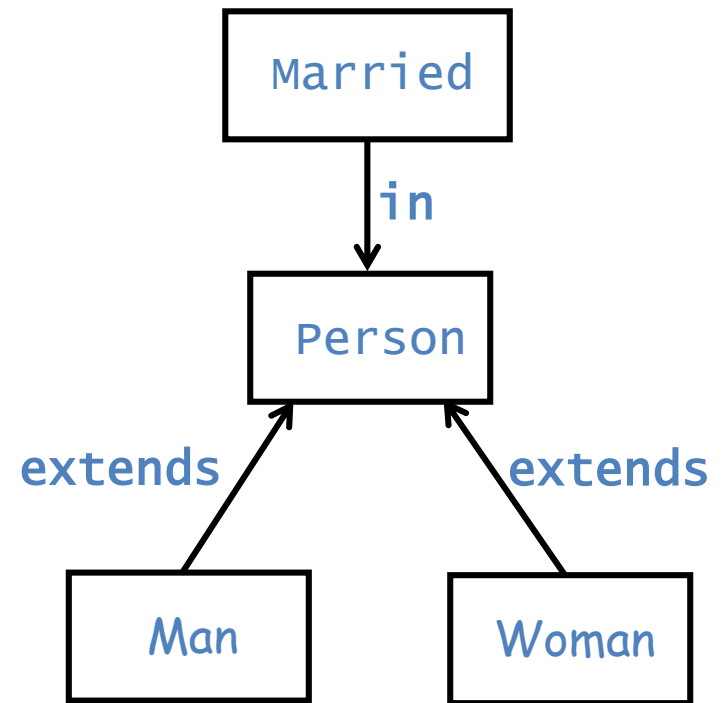
## Alloy Model

```
abstract sig Person {}  
sig Man extends Person {}  
sig Woman extends Person {}  
sig Married in Person {}
```



Person

## Graphical Representation



# Model Instances

The Alloy Analyzer will generate *instances* of models so that we can see if they match our intentions.

Which of the following are instances of our current model?

```
abstract sig Person {}
sig Man extends Person {}
sig Woman extends Person {}
sig Married in Person {}
```

~~1.~~ Person = {(P0),(P1),(P2)}  
Man = {(P1),(P2)}  
Married = {}  
Woman = {(P0),(P1)}

~~2.~~ Person = {(P0),(P1)}  
Man = {(P0)}  
Married = {(P1)}  
Woman = {}

✓ 3. Person = {(P0),(P1),(P2)}  
Man = {(P1),(P2)}  
Married = {}  
Woman = {(P0)}

✓ 4. Person = {(P0),(P1),(P2),(P3)}  
Man = {(P0),(P1),(P2),(P3)}  
Married = {(P2),(P3)}  
Woman = {}

✓ 5. Person = {(P0),(P1)}  
Man = {(P0)}  
Married = {(P1),(P0)}  
Woman = {(P1)}

# Example: Family Structure

## Alloy Model with siblings

```
abstract sig Person {  
  siblings: Person  
}  
sig Man extends Person {}  
sig Woman extends Person {}  
sig Married in Person {}
```

*siblings is a binary relation*  
*it is a subset of Person x Person*

## Example instance

```
Person = {(P0), (P1)}  
Man = {(P0), (P1)}  
Married = {}  
Woman = {}
```

```
siblings = {(P0,P1), (P1,P0)}
```

*Intuition: P0 and P1 are siblings*

# Multiplicities

Allow us to constrain the sizes of sets

- A multiplicity keyword placed before a signature declaration constrains the number of element in the signature's set

```
m sig A {}
```

- We can also make multiplicities constraints on fields:

```
sig A {f: m e}
```

```
sig A {f: e1 m -> n e2}
```

There are four multiplicities

- **set** : any number
- **some** : one or more
- **lone** : zero or one
- **one** : exactly one



# Multiplicities: Examples

Without multiplicity:

A set of colors, each of which is red, yellow or green

```
abstract sig Color {}  
sig Red, Yellow, Green extends Color {}
```

With multiplicity:

An enumeration of colors

```
abstract sig Color {}  
one sig Red, Yellow, Green extends Color {}
```

# Multiplicities: Examples

- A file system in which each directory contains any number of objects, and each alias points to exactly one object

```
abstract sig Object {}  
sig Directory extends Object {contents: set Object}  
sig File extends Object {}  
sig Alias in File {to: one Object}
```

- The **default multiplicity** is **one**, so:

`sig A {f: e}` and `sig A {f: one e}`

are equivalent.

redundant

# Multiplicities: Examples

- A book maps names to addresses
  - There is at most one address per Name
  - An address is associated to at least one name

```
sig Name, Addr {}
```

```
sig Book {
```

```
    addr: Name some -> 1one Addr
```

```
}
```

# Multiplicities: Examples

- A collection of weather forecasts, each of which has a field `weather` associating every city with exactly one weather condition

```
sig Forecast {weather: City -> one weather}
```

```
sig City {}
```

```
abstract sig weather {}
```

```
one sig Rainy, Sunny, Cloudy extends weather {}
```

- Instance:

```
City = {(Iowa City), (Chicago)}
```

```
Rainy = {(rainy)}
```

```
Sunny = {(sunny)}
```

```
Cloudy = {(cloudy)}
```

```
Forecast = {(f1), (f2)}
```

```
weather = { (f1, Iowa City, rainy), (f1, Chicago, rainy),  
            (f2, Iowa City, rainy), (f2, Chicago, sunny) }
```

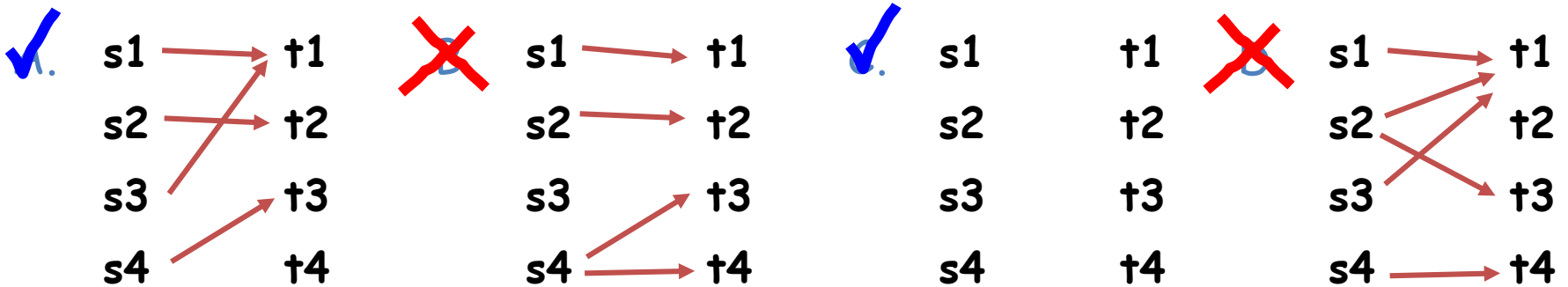
# Multiplicities and Binary Relations

- **sig S {f: 1one T}**

- says that, for each element  $s$  of  $S$ ,  $f$  maps  $s$  to **at most** a single value in  $T$

Conventional name: **partial function**

- Potential instances:



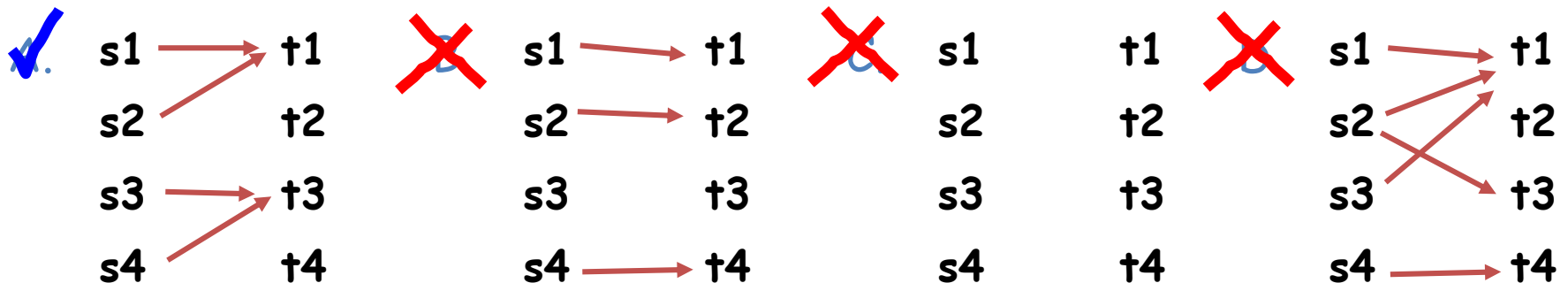
# Multiplicities and Binary Relations

- **sig**  $S \{f: \text{one } T\}$

- says that, for each element  $s$  of  $S$ ,  $f$  maps  $s$  to **exactly one** value in  $T$

*Conventional name:* total function

- Potential instances:

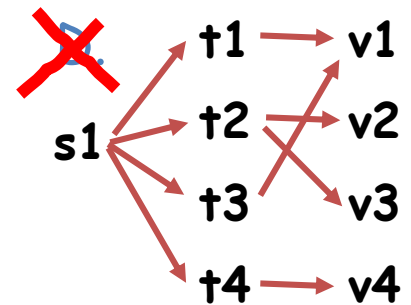
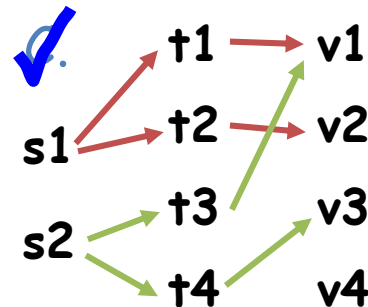
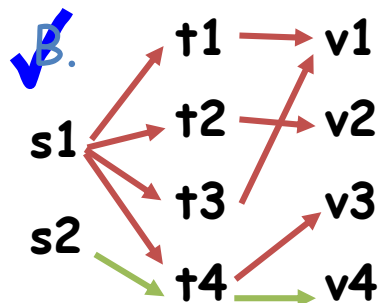
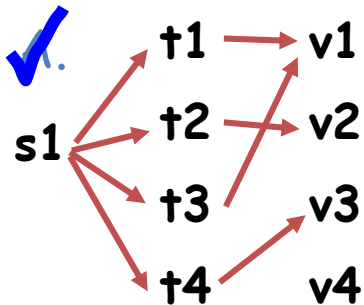


# Multiplicities and Ternary Relations

- **sig**  $S \{f: T \rightarrow \text{one } V\}$

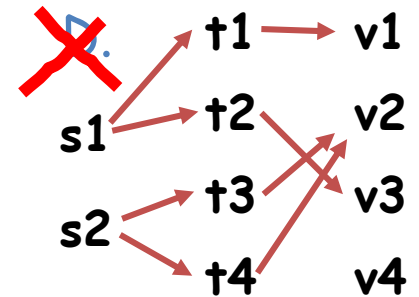
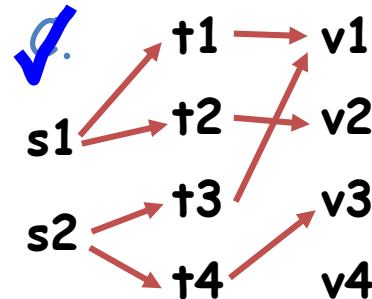
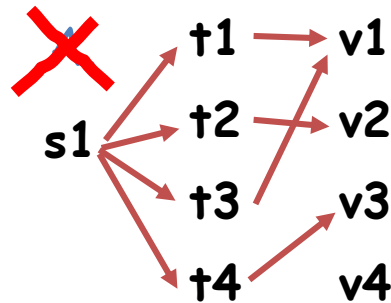
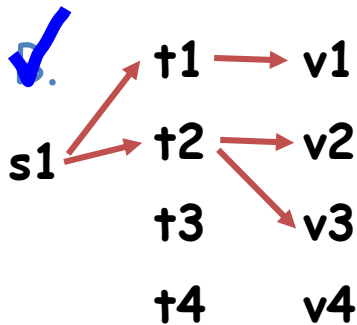
- For **each element**  $s$  of  $S$ , over the triples that start with  $s$ :  
 $f$  maps each  $T$ -element to **exactly one**  $V$ -element

- Potential instances:



# Multiplicities and Ternary Relations

- **sig**  $S \{f: T \text{ } \perp \text{ one} \rightarrow V\}$ 
  - For **each element**  $s$  of  $S$ , over the triples that start with  $s$ :  
 $f$  maps **at most one**  $T$ -element to the same  $V$ -element
- Potential instances:





# Multiplicities and Relations

- Other kinds of relational structures can be specified using multiplicities
- Examples:
  - `sig S {f: some T} ... total relation`
  - `sig S {f: set T} ... partial relation`
  - `sig S {f: T set -> set V}`
  - `sig S {f: T one -> V}`
  - ...

# Cardinality Constraints

Multiplicities can also be applied to whole expressions denoting relations

- **some**  $e$        $e$  is non-empty
- **no**  $e$            $e$  is empty
- **1one**  $e$          $e$  has at most one tuple
- **one**  $e$           $e$  has exactly one tuple

# Example: Family Structure

- How would you use multiplicities to define the **children** relation?

```
sig Person {children: set Person}
```

– Intuition: each person has zero or more children

- How would you use multiplicities to define the **spouse** relation?

```
sig Married {spouse: one Married}
```

– Intuition: each married person has exactly one spouse

# Summarizing

## Alloy Model

```
abstract sig Person {  
    children: set Person,  
    siblings: set Person  
}
```

```
sig Man, Woman extends Person {}
```

```
sig Married in Person {  
    spouse: one Married  
}
```

# Exercises

- Start the Alloy Analyzer:
- Load file `family-1.a1s` from the **Resources** section of the course website
- Execute it
- Analyze the model instance
- Look at the generated instance
- Does it look correct?
- What, if anything, would you change about it?

# Model Instance

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(Man1,Man0),(Man0,Man2),(Man2,Man0)}

# Man can be his own child ?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (**Man0,Man0**),(Man0,Man1),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(Man1,Man0),(Man0,Man2),(Man2,Man0)}

# Multiple Fathers?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0), (**Man0,Man1**),  
              (Man1,Man0),  
              (**Man2,Man1**), (Man2,Man2)

}

siblings = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(Man1,Man0),(Man0,Man2),(Man2,Man0)}



# Own-Siblings?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (**Man0,Man0**),(Man0,Man1),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(Man1,Man0),(Man0,Man2),(Man2,Man0)}

# Asymmetric Siblings?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(Man1,Man0),(Man0,Man2),(Man2,Man0)}

No (Man2,Man1)?

# Children-Siblings?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0),(**Man0,Man1**),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (Man0,Man0),(**Man0,Man1**),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(Man1,Man0),(Man0,Man2),(Man2,Man0)}

# Asymmetric Marriage?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (Man0,Man0),(Man0,Man1),  
              (Man1,Man0),(Man1,Man2),  
              (Man2,Man2)

}

spouse = {(**Man1,Man0**),(Man0,Man2),(Man2,Man0)}

where is (Man0,Man1)?

# Model Weaknesses

- The model is **underconstrained**
  - It doesn't match our domain knowledge
  - We can **add constraints** to enrich the model
- Under-constrained models are common early on in the development process
  - AA gives us quick feedback on weaknesses in our model
  - We can **incrementally add** constraints until we are satisfied with it

# Adding Constraints

We'd like to enforce the following constraints which are simply matters of **biology**

- *No person can be their own parent (or more generally, their own ancestor)*
- *No person can have more than one father or mother*
- *A person's siblings are those with the same parents*

# Adding Constraints

- We'd like to enforce the following **social** constraints
  - *The spouse relation is symmetric*
  - *A man's wife cannot be one of his siblings*